



2644#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Oba et al.
Appl. No.: 09/705,035
Conf. No.: 6839
Filed: November 2, 2000
Title: PORTABLE AUDIO LISTENING APPARATUS
Art Unit: 2644
Examiner: M. Harvey
Docket No.: 112857-265

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY FIRST CLASS MAIL UNDER 37 CFR 1.8

Sir:

I hereby certify that the following documents relating to the above-identified application:

1. Transmittal of Information Disclosure Statement (2 pages) (in duplicate);
2. Information Disclosure Statement (1 page);
3. PTO Form 1449 (1 page + 2 references);
4. Check in the amount of \$180.00; and
5. Return Receipt Postcard.

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P.O. Box 1450
Alexandria, VA 22313-1450

on June 4, 2003.

Respectfully submitted,

BELL, BOYD & ALLOYD LLC

Robert J. Buccheri
Name of Person Mailing Correspondence

[Signature]
Signature

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112857-265

In Re Application Of: Oba et al.

Serial No.
09/705,035

Filing Date
November 2, 2000

Examiner
M. Harvey

Group Art Unit
2644

Title: **PORTABLE AUDIO LISTENING APPARATUS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112857-265

In Re Application: Oba et al

Serial No.

09/705,035

Filing Date

November 2, 2000

Examiner

M. Harvey

Group Art Unit

2644

PORTABLE AUDIO LISTENING APPARATUS

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of \$180.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 92-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
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Signature

Dated: June 4, 2003

B. Joe Kim

Reg. No. 41,895

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,914,701	June 22, 1999	Gersheneld et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
JP 7-170215	April 7, 1995	Japan

OTHER DOCUMENTS

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

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Dated: June 4, 2003

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